

PTO/SB/21 (04-04)

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Total Number of Pages in This Submission

Application Number	09/900,803
Filing Date	July 6, 2001
First Named Inventor	Brian Yen
Art Unit	3621
Examiner Name	WORJLOH, JALATEE
Attorney Docket Number	51861.00002

ENCLOSURES (check all that apply)			
Fee Transmittal Form		☐ Drawing(s)	After Allowance Communication to Technology Center (TC)
Fee Attached		Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences
Amendment / Re	ply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
After Final		Petition to Convert to a Provisional Application	Proprietary Information
☐ Affidavits/dec	claration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter
Extension of Time Request		Terminal Disclaimer	Other Enclosure(s) (please identify below):
Express Abandonment Request		Request for Refund CD, Number of CD(s)	PTO-1449; 2 references
Information Discl	osure Statement		
Certified Copy of Priority Document(s)		Remarks	
Response to Missing Parts/ Incomplete Application			
Response to Missing Parts under 37 CFR 1.52 or 1.53			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No.: 51861.00002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner:

WORJLOH, JALATEE

Brian Yen

Serial No.:

09/900,803

Art Unit:

3621

Filed:

July 6, 2001

Title:

System and Method for On-Demand Data Distribution in a P2P System

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97(b)

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56 and pursuant to 37 CFR §\$1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the enclosed Form PTO-1449. One copy of each reference cited is submitted herewith.

The present Information Disclosure Statement is being filed more than three months after the filing date but before the mailing of the first Office Action. Accordingly, no fee or certification is needed.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

Attorney Docket No.: 51861.00002

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. <u>05-0150</u>.

Date: 7/25/54

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Respectfully submitted, Brian Yen

Aaron Wining

Attorney for Applicant Registration No.: 45,229

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

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A oron Winingo

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Substitute for form 1449B/PTO			Complete if Known		
INICO	NORRATION	DICCI OCUDE	Application Number	09/900,803	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		riling Date	July 6, 2001		
		First Named Inventor	Brian Yen		
			Art Unit	3621	
(Use as many sheets as necessary)		Examiner Name	WORJLOH, JALATEE		
Sheet	1	of 1	Attorney Docket Number	51861 00002	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	1	PAUL CALLAHAN; International Search Report in corresponding PCT application: PCTR/US01/21712; USPTO; Washington, D.C.; February 14, 2002	
	2	GILBERTO BARRON; International Preliminary Examination Report in corresponding PCT application: PCT/US01/21712; USTPO; Washington, D.C.; May 15, 2002	
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Examiner		Date	•

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Signature	Considered	

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Substitute for form 1449B/PTO Complete if Known Application Number 09/900,803 INFORMATION DISCLOSURE Filing Date July 6, 2001 STATEMENT BY APPLICANT First Named Inventor Brian Yen Art Unit 3621 (Use as many sheets as necessary) Examiner Name WORJLOH, JALATEE 51861.00002 Sheet of Attorney Docket Number

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	1	PAUL CALLAHAN; International Search Report in corresponding PCT application: PCTR/US01/21712; USPTO; Washington, D.C.; February 14, 2002		
	2	GILBERTO BARRON; International Preliminary Examination Report in corresponding PCT application: PCT/US01/21712; USTPO; Washington, D.C.; May 15, 2002		
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Examiner	Date	
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